

# ON AMENABLE GROUPS OF AUTOMORPHISMS ON VON NEUMANN ALGEBRAS<sup>†</sup>

BY

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## ABSTRACT

Let  $G \subset \text{Aut } \mathcal{M}$  be a countable group,  $\mathcal{M}$  a Von Neumann algebra. Let  $E$  be a set of pure states on  $\mathcal{M}$  such that  $G^*E \subset E$ ,  $S^G$  be the set of  $G$  invariant states on  $\mathcal{M}$  and  $S_E^G = S^G \cap w^*\text{cl co } E$ . We investigate in this paper some geometric properties for the set  $S_E^G$  which turn out to be equivalent to amenability for the group  $G$ . For example, we show that  $S_E^G \subset \mathcal{M}_*$  ( $S_E^G$  has the WRNP) implies that  $\mathcal{M}$  contains minimal projections ( $E$  contains *finite*  $G$  invariant orbits) hold true, for all  $\mathcal{M}$  iff  $G$  is amenable. Furthermore we show that if  $G$  is amenable then  $S^G \cap \mathcal{M}_*$  contains a big set, thus improving results obtained by Ching Chou in [2]. These results imply that no action of an amenable countable group  $G$  on an arbitrary  $W^*$  algebra  $\mathcal{M}$  is  $s$  — strongly ergodic. Moreover  $\text{card } S^G \cap \mathcal{M}_* \geq 2^c$  (see M. Choda [4], K. Schmidt [21] and compare with A. Connes and B. Weiss [5]).

## Introduction

Let  $\mathcal{M}$  be an infinite-dimensional  $W^*$  algebra,  $\mathcal{M}^*$  ( $\mathcal{M}_*$ ) its dual (predual). Let  $\text{Aut } \mathcal{M}$  be the group of all automorphisms of  $\mathcal{M}$  onto  $\mathcal{M}$  and  $G \subset \text{Aut } \mathcal{M}$  a group. Let  $E \subset \mathcal{M}^*$  be a set of pure states (as in [23]) (usually) such that  $G^*E \subset E$  (i.e.  $g^*E \subset E$  for all  $g$  in  $G$ , where  $\langle g^*\psi, x \rangle = \langle \psi, gx \rangle$  if  $\psi \in \mathcal{M}^*$ ,  $x \in \mathcal{M}$ ).

Denote by  $S^G$  the set of all states  $\psi$  in  $\mathcal{M}^*$  such that  $G^*\psi = \psi$  (i.e.  $g^*\psi = \psi$  for all  $g$  in  $G$ ). Let  $S_E^G = S^G \cap w^*\text{cl co } E$  where  $w^*\text{cl}$  denotes  $w^*$  closure and  $\text{co } E$  the convex hull of  $E$ . If  $\mathcal{J} \subset \mathcal{M}$ , let  $\mathcal{J}^0 = \{\psi \in \mathcal{M}^*; \langle \psi, x \rangle = 0 \text{ for all } x \text{ in } \mathcal{J}\}$ .

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If  $E$  is the set of all pure states on  $\mathcal{M}$ , then clearly  $S_E^G = S^G$ , while if  $\mathcal{J} = \{0\}$  then  $S_E^G \cap \mathcal{J}^0 = S_E^G$ .

Our first result is a characterization of amenability for  $G$  in terms of minimal projections in  $\mathcal{M}$ , namely:

**THEOREM 1.** *Let  $G \subset \text{Aut } \mathcal{M}$  be a group,  $E \subset \mathcal{M}^*$  a set of pure states such that  $G^*E \subset E$  and  $\mathcal{J}$  countable. Assume that  $\emptyset \neq S_E^G \cap \mathcal{J}^0 \subset \mathcal{M}_*$ .*

- (a) *If  $G$  is countable and amenable then  $\mathcal{M}$  contains minimal projections.*
- (b) *If  $G$  is not amenable then the action of  $G$  on  $\mathcal{M} = L^\infty(T^G, \lambda^G)$  is such that  $S^G \subset \mathcal{M}_*$ , yet  $\mathcal{M}$  does not contain minimal projections.*

Part (b) of the theorem is an immediate consequence of the following interesting result of Losert and Rindler [15] and, independently, of Rosenblatt [17]: "If  $G$  is any *nonamenable* group, then the ergodic measure preserving action of  $G$  on  $\mathcal{M} = L^\infty(T^G, \lambda^G)$  admits a unique invariant state, i.e.  $S^G = \{\lambda^G\}$ ". Here  $T^G = \{\Pi T_g; g \in G\}$  is the product group of  $T_g = T$  (the torus) for each  $g$  in  $G$ , equipped with its Haar measure  $\lambda^G$ .

The next result is concerned with the "bigness" of the set  $S^G$ . Let

$$c_0 = \left\{ b = (b_k) \in l^\infty; \lim_k b_k = 0 \right\}$$

and  $\mathcal{F}$  be the set of states  $\psi$  on  $l^\infty$  such that  $\psi = 0$  on  $c_0$  (i.e.  $\langle \psi, \delta_n \rangle = 0$  for all  $n$  where  $\delta_n$  is defined as zero at all  $k \neq n$  and is one at  $k = n$ ). Thus  $\beta N \sim N \subset \mathcal{F}$  and  $\text{card } \beta N \sim N = 2^c$ , where  $c = \text{card } R$  is the cardinality of the continuum. Furthermore  $\beta N \sim N$  is a  $w^*$  perfect set such that if  $\psi_1, \psi_2 \in \beta N \sim N$  and  $\psi_1 \neq \psi_2$  then  $\|\psi_1 - \psi_2\| = 2$ . In particular  $\mathcal{F}$  is as "big" as it can be, since  $\text{card}(l^{\infty*}) = 2^c$ .

Denote by  $\mathcal{M}^* \sim \mathcal{M}_*$  the set theoretical difference between  $\mathcal{M}^*$  and  $\mathcal{M}_* \subset \mathcal{M}^*$ . We now have

**THEOREM 2.** *Let  $\mathcal{M}$  be an infinite-dimensional  $\sigma$ -finite  $W^*$  algebra (see [23] p. 78) which contains no minimal projections. Let  $G \subset \text{Aut } \mathcal{M}$  be a countable amenable group and  $E$  a set of pure states on  $\mathcal{M}$  such that  $G^*E \subset E$ . If for some countable  $\mathcal{J} \subset \mathcal{M}$ ,  $S_E^G \cap \mathcal{J}^0 \neq \emptyset$  ( $\mathcal{J} = \{0\}$  is such), then  $S^G \cap \mathcal{J}^0 \cap (\mathcal{M}^* \sim \mathcal{M}_*)$  contains a  $w^*$  and norm isomorphic copy of the big set  $\mathcal{F}$ .*

*If  $G$  is not amenable, then the action of  $G$  on  $\mathcal{M} = L^\infty(T^G, \lambda^G)$  is such that  $S^G = \{\lambda^G\}$ , thus  $\text{card } S^G = 1$ .*

We note that the set  $S_E^G \cap (\mathcal{M}^* \sim \mathcal{M}_*)$  need not be big. Even if  $G = \{e\}$  is the trivial group,  $S_E^G \cap (\mathcal{M}^* \sim \mathcal{M}_*)$  may contain just one element. Nevertheless, by the above theorem  $S^G \cap (\mathcal{M}^* \sim \mathcal{M}_*)$  has to be "big". Furthermore

$S^G \cap \mathcal{I}^0 \cap (\mathcal{M}^* \sim \mathcal{M}_*)$  may contain  $\mathcal{F}$ , yet  $S^G \cap \mathcal{I}^0 \cap \mathcal{M}_*^\perp = \emptyset$  may happen. ( $\mathcal{M}_*^\perp$  is the set of singular elements of  $\mathcal{M}^*$  as in Takesaki [23] p. 127.)

Why are we interested in  $S_E^G \cap \mathcal{I}^0$  where  $\mathcal{I} \subset \mathcal{M}$  is countable, instead of only in  $S_E^G$ ? It happens that one is interested in a set of states which are  $G$  invariant yet are *not* invariant with respect to some maps  $h_n: \mathcal{M} \rightarrow \mathcal{M}$ ,  $n = 1, 2, 3, \dots$  (a “symmetry breaking” situation as in [18]). In such a case there exist some  $\phi_0 \in S_E^G$ ,  $x_n \in \mathcal{M}$  and scalars  $\alpha_n, \beta_n$  such that  $\phi_0(x_0) = \alpha_n$ ,  $\phi_0(h_n x_n) = \beta_n$  for all  $n$ . Hence, if we take  $\mathcal{I} = \{x_n - \alpha_n I\} \cup \{h_n x_n - \beta_n I\}$ , then  $\phi_0 \in S_E^G \cap \mathcal{I}^0$ . One may then be interested to find out when  $S_E^G \cap \mathcal{I}^0$  has the WRNP (see Theorem 3) or when  $S^G \cap \mathcal{I}^0$  contains  $\mathcal{F}$  (as in Theorems 2, 7 and 9).

The following is a result of Ching Chou:

**COROLLARY** (Ching Chou [2]). *Assume that  $G$  is a countable amenable group acting ergodically as measure preserving maps on a nonatomic probability space  $(X, \mathcal{B}, p)$ . Then there exists a positive  $w^*-w^*$  continuous isometry into,  $t^*: l^{\infty*} \rightarrow L^\infty(X)^*$  such that  $t^*\mathcal{F} \subset S^G$ .*

We improve this result in

**THEOREM 7.** *Let  $\mathcal{M}$  be an infinite-dimensional  $W^*$  algebra,  $G \subset \text{Aut } \mathcal{M}$  a countable amenable group. Then there exists a sequence of  $\sigma$ -finite projections  $\{q_n; n \geq 0\}$  such that  $q_n \uparrow q_0$   $\sigma$ -strongly,  $gq_0 = q_0$  for all  $g$  in  $G$  and a positive  $w^*-w^*$  continuous isometry into  $t^*: l^{\infty*} \rightarrow \mathcal{M}^*$  such that  $t^*(\mathcal{F}) \subset S^G \cap \mathcal{M}_*^\perp \cap P^0$  where  $P = \{q_n; n \geq 1\} \cup \{I - q_0\}$ .*

The main result of Ching Chou in [2] is the

**THEOREM.** *Let  $G$  be a countable group acting ergodically as measure preserving maps on the nonatomic probability space  $(X, \mathcal{B}, p)$ . If the set  $S^G$  of  $G$  invariant states on  $\mathcal{M} = L^\infty(X, p)$  contains some state  $\psi_0 \neq p$  (thus  $\psi_0 \notin L^1(X, p)$ ) then there exists a positive  $w^*-w^*$  continuous isometry into  $t^*: l^{\infty*} \rightarrow \mathcal{M}^*$  such that  $t^*\mathcal{F} \subset S^G$ .*

We improve Chou's result in

**THEOREM 9.** *Let  $G \subset \text{Aut } \mathcal{M}$  be any countable group acting on the  $\sigma$ -finite, infinite-dimensional,  $W^*$  algebra  $\mathcal{M}$ . Assume that there is some  $\phi_0$  in  $S^G$  which is not normal. Then there is a sequence of projections  $q_n \uparrow I$ ,  $\sigma$ -strongly, and a positive  $w^*-w^*$  continuous isometry  $t^*: l^{\infty*} \rightarrow \mathcal{M}^*$  such that  $t^*\mathcal{F} \subset S^G \cap \mathcal{M}_*^\perp \cap P^0$  where  $P = \{q_n\}$ .*

In Chou's proofs essential use is made of the fact that  $G$  preserves the finite measure  $p$ , thus  $p$  is a finite  $G$  invariant faithful trace on  $\mathcal{M} = L^\infty(X, p)$ . In our case  $\mathcal{M}$  need not admit even a semifinite faithful trace.

This paper contains results related to the theorem announced on p. 760 of our paper [13].

We bring hereby a short *errata* to [13]:

On p. 755<sup>15</sup> replace  $(X, \mathcal{B}, \mu)$  by  $(\mathcal{X}, \mathcal{B}, \mu)$ . On p. 755<sub>19</sub> and 755<sub>20</sub> replace  $S$  by  $G$ . On p. 758 footnote to Corollary 2: "Using recent results of N. Ghoussoub, G. Godefroy, B. Maurey and W. Schachermeyer one can replace RNP by WRNP in this corollary. Corollary 4 becomes thus superfluous." On p. 758<sup>22</sup> replace  $S_E^G$  by  $S_{E_1}^G$ . On p. 760<sub>3</sub> replace "is" by "improves".

### Definitions and notations

Throughout this paper  $\mathcal{M}$  will denote an arbitrary  $W^*$  algebra,  $\mathcal{M}^*(\mathcal{M}_*)$  its dual (predual) and  $I \in \mathcal{M}$  the identity. Then, as is well known (see Takesaki [23] p. 127),  $\mathcal{M}^* = \mathcal{M}_* \oplus \mathcal{M}_*^\perp$ , an  $l_1$  direct sum, where  $\mathcal{M}_*^\perp$  denotes the set of singular functionals on  $\mathcal{M}$ .  $\mathcal{M}^* \sim \mathcal{M}_*$  will denote the set theoretical difference of  $\mathcal{M}^*$  and  $\mathcal{M}_*$ . A self-adjoint projection in  $\mathcal{M}$  will be called a projection. If  $\phi \in \mathcal{M}^*$  is positive then  $(\pi_\phi, H_\phi, \xi_\phi)$  will denote the GNS representation induced by  $\phi$  as in [23] p. 39 Theorem I.9.14.  $B(H)$  is the  $W^*$  algebra of all bounded operators on the Hilbert space  $H$ .

Let  $G \subset \text{Aut } \mathcal{M}$  be a group,  $E$  a set of pure states on  $\mathcal{M}$  (usually) such that  $G^*E \subset E$ . Let  $S_E^G = \{\psi \in w^*\text{-cl co } E; G^*\psi = \psi\}$  and, if  $E$  is the set of all pure states, denote  $S_E^G$  by  $S^G$ . If  $A \subset \mathcal{M}^*$ ,  $w^*G_\delta(A)$  denotes the  $w^*G_\delta$  points of  $A$ . If  $A \subset \mathcal{M}^*$  is  $w^*$  compact then  $x_0 \in w^*G_\delta(A)$ , iff for some countable  $\mathcal{J} \subset \mathcal{M}$ ,  $\{x_0\} = A \cap \mathcal{J}^0$ . Then  $x_0 \in w^*G_\delta(A)$  iff the  $w^*$  topology restricted to  $A$  is first countable at  $x_0$ .

If  $L^\infty = L^\infty(X, \mu)$ ,  $\Delta_{L^\infty}$  denotes the set of all multiplicative (i.e. pure) states on  $L^\infty$ . In all the theorems where the isometry (isomorphism)  $t^*: l^\infty \rightarrow \mathcal{M}^*$  appears,  $t^*$  is the adjoint of an onto positive operator  $t: \mathcal{M} \rightarrow l^\infty$  as defined in the proof of Theorem 5 or in Theorem 1.4 of [12].

A convex set  $K$  of a Banach space  $X$  has the (WRNP) RNP if, for any finite measure space  $(\mathcal{X}, B, \mu)$ , any countably additive  $\mu$ -continuous map  $m: B \rightarrow X$  such that  $\mu(A)^{-1}m(A) \in K$  if  $\mu(A) \neq 0$  is represented by a Bochner (Pettis) integrable function. For very interesting geometric properties of RNP (WRNP) sets see Stegall [22] (E. Saab [19]).  $\delta_n$  will always denote the sequence  $\delta_n = \{\delta_k^n\}$  where  $\delta_k^n = 0$  if  $k \neq n$  and  $\delta_n^n = 1$ .

The term “ $\sigma$ -finite” for a  $W^*$  algebra (projection) is the same as “countably decomposable” in Kadison–Ringrose [14]. If  $K \subset \mathcal{M}^*$ ,  $w^*\text{seq cl } K$  denotes the  $w^*$  sequential closure of  $K$ .

### I. Amenability, minimal projections and WRNP

**THEOREM 1.** *Let  $G \subset \text{Aut } \mathcal{M}$  be a countable group,  $E$  a set of pure states on the  $W^*$  algebra  $\mathcal{M}$  such that  $G^*E \subset E$  and  $\mathcal{J} \in \mathcal{M}$  is countable. If  $G$  is amenable and if  $\emptyset \neq S_E^G \cap \mathcal{J}^0 \subset \mathcal{M}_*$ , then  $\mathcal{M}$  contains minimal projections.*

*If  $G$  is not amenable, then the action of  $G$  on  $L^\infty(T^G, \lambda^G)$  with  $E = \Delta_{L^\infty}$  and  $\mathcal{J} = \{0\}$  satisfies  $S^G \cap \mathcal{J}^0 = \{\lambda^G\} \subset \mathcal{M}_*$  yet  $\mathcal{M}$  contains no minimal projections.*

**PROOF.** Assume that  $S_E^G \cap \mathcal{J}^0 \subset \mathcal{M}_*$ . Then  $S_E^G \cap \mathcal{J}^0$  is a weakly, i.e.  $\sigma(\mathcal{M}_*, \mathcal{M})$  compact set (since it is  $w^*$  compact in  $\mathcal{M}_*$ ). In particular  $S_E^G \cap \mathcal{J}^0$  has the RNP (by Stegall [22] p. 508). Hence in any case  $S_E^G \cap \mathcal{J}^0$  is a  $w^*$  compact convex RNP set and as such it has some  $w^*G_\delta$  point  $\phi_0$  (by [22]; for an alternate proof see [11] p. 116). Applying our Corollary 1(a) of [13] we get that there is a countable subset  $E_0 \subset E$  such that

$$(*) \quad \pi_\psi \leq \pi_{\phi_0} \leq \{ \oplus \pi_\phi; \phi \in E_0 \} \quad \text{for each } \psi \in E_0.$$

However  $(\pi_{\phi_0}, H_{\phi_0}, \xi_{\phi_0})$  is a normal morphism of  $\mathcal{M}$  (since  $\phi_0$  is normal); see Pedersen [16] 3.3.9. Hence  $\pi_{\phi_0}(\mathcal{M})$  is a  $W^*$  algebra, by [16] 2.5.3, and  $\pi_{\phi_0}(\mathcal{M})$  is isomorphic to  $\mathcal{M}q$  for some projection  $q \in \mathcal{M} \cap \mathcal{M}'$ , by [16] 2.5.5. If now  $\psi \in E_0$ , then by (\*)

$$\psi(x) = \langle \pi_\psi(x) \xi_\psi, \xi_\psi \rangle = \langle \pi_{\phi_0}(x) \xi_1, \xi_1 \rangle, \quad \text{for some } \xi_1 \in H_{\phi_0}.$$

Since  $\pi_{\phi_0}$  is normal, it follows that  $\psi$  is normal. Hence  $\pi_\psi(\mathcal{M})$  is also a  $W^*$  algebra. However, the  $W^*$  algebra generated by the  $C^*$  algebra  $\pi_\psi(\mathcal{M})$  is  $B(H_\psi)$  since  $\pi_\psi$  is irreducible. Hence  $\pi_\psi(\mathcal{M}) = B(H_\psi)$  and, as above,  $\pi_\psi(\mathcal{M})$  is isomorphic to a  $W^*$  subalgebra  $\mathcal{M}q_1$  of  $\mathcal{M}$ . Since  $B(H_\psi)$  contains minimal projections, so does  $\mathcal{M}$ . This proves the first part. For the second part, we only need to note that  $(T^G, \lambda^G)$  contains no atoms hence  $\mathcal{M}$  has no minimal projections (see Introduction). ■

**THEOREM 2.** *Let  $\mathcal{M}$  be a  $\sigma$ -finite  $W^*$  algebra and contain no minimal projections,  $G \subset \text{Aut } \mathcal{M}$  be countable amenable,  $E$  a set of pure states such  $G^*E \subset E$ . Then for every  $w^*G_\delta$  set  $U \subset \mathcal{M}^*$  such that  $U \cap S_E^G \neq \emptyset$  (or any  $U = \mathcal{J}^0$  for some countable  $\mathcal{J} \subset \mathcal{M}$  with  $S_E^G \cap U \neq \emptyset$ ) satisfies*

$S_E^G \cap U \cap \{\mathcal{M}^* \sim \mathcal{M}_*\} \neq \emptyset$  and furthermore  $S^G \cap U \cap \{\mathcal{M}^* \sim \mathcal{M}_*\}$  contains a  $w^*$  and norm isomorphic copy of the big set  $\mathcal{F}$  (see end of proof).

If  $G$  is not amenable, the action of  $G$  on  $L^\infty(T^G, \lambda^G)$  satisfies for  $E = \Delta_{L^\infty}$ ,  $\mathcal{J} = \{0\}$ ,  $S_E^G \cap \mathcal{J}^0 = S^G = \{\lambda^G\} \subset \mathcal{M}_*$ .

REMARK. The set  $S_E^G \cap \mathcal{J}^0 \cap \{\mathcal{M}^* \sim \mathcal{M}_*\}$  need not be big. It may contain just one element. For example, if  $G = \{e\}$  is the trivial group acting on  $\mathcal{M} = L^\infty[0, 1]$  and if  $E$  contains only one pure (i.e. multiplicative) state  $\{\phi_0\}$  and  $\mathcal{J} = \{0\}$ , then  $S_E^G \cap \mathcal{J}^0 \cap \{\mathcal{M}^* \sim \mathcal{M}_*\} = \{\phi_0\}$ . However  $S^G \cap \mathcal{J}^0 \cap \{\mathcal{M}^* \sim \mathcal{M}_*\}$  is big.

PROOF. Let  $\phi_1 \in U \cap S_E^G$ , then there are  $w^*$  open sets  $U_n$  such that  $\phi_1 \in \bigcap_{n=1}^\infty U_n \cap S_E^G = U$ . For each  $n$  there is a  $w^*$  neighbourhood

$$V_n = \{\phi; |(\phi - \phi_1)(x_k^n)| < \varepsilon_n, 1 \leq k \leq k_n\}$$

such that  $V_n \cap S_E^G \subset U_n \cap S_E^G$  where  $x_k^n \in \mathcal{M}$ . Let  $\alpha_k^n = \phi_1(x_k^n)$ . Then  $V_n^0 = \{\phi \in \mathcal{M}^*; \phi(x_k^n) = \alpha_k^n, k \leq k_n\}$  satisfies  $\phi_1 \in V_n^0 \cap S_E^G \subset V_n \cap S_E^G$ . Let  $\mathcal{J} = \{x_k^n - \alpha_k^n I; k, n \geq 1\}$ . Then

$$\phi_1 \in S_E^G \cap \mathcal{J}^0 = \bigcap_n V_n^0 \cap S_E^G \subset U \cap S_E^G.$$

Our Theorem 1 implies that  $S_E^G \cap \mathcal{J}^0 \cap \{\mathcal{M}^* \sim \mathcal{M}_*\} \neq \emptyset$ . (If  $U = \mathcal{J}^0$  then clearly  $S_E^G \cap \mathcal{J}^0 \cap \{\mathcal{M}^* \sim \mathcal{M}_*\} \neq \emptyset$ .) Let now

$$\phi_0 \in S_E^G \cap \mathcal{J}^0 \cap \{\mathcal{M}^* \sim \mathcal{M}_*\} \subset S_E^G \cap U \cap \{\mathcal{M}^* \sim \mathcal{M}_*\}.$$

Since  $\mathcal{M}$  is  $\sigma$ -finite and  $\phi_0$  is not normal there exists a sequence of pairwise disjoint projections  $p_n$  in  $\mathcal{M}$  such that  $d = \phi_0(\sum_1^\infty p_n) > \sum_1^\infty \phi_0(p_n)$  (see [23] p. 136 Cor. 3.11). Let  $d_n = \phi_0(p_n)$  and  $S_N$  be the set of normal states on  $\mathcal{M}$ . Let  $\mathcal{J}_1 = \mathcal{J} \cup \{p_n - d_n I; n \geq 1\}$  and denote  $A = w^* \text{cl } S_N \cap S^G \cap \mathcal{J}_1^0$ . Clearly  $\phi_0 \in A$  and  $A \cap w^* \text{seq cl } S_N = \emptyset$ . This holds since  $w^* \text{seq cl } S_N = S_N$  ([23] p. 148) and any  $\phi \in S_N$  satisfies  $\phi(\sum_1^\infty p_n) = \sum_1^\infty \phi(p_n)$ . But then our Theorem 1.4 of [12] p. 158 (see also p. 171) implies that there exists a  $w^*$ - $w^*$  continuous norm isomorphism into  $t^*: l^{\infty*} \rightarrow \mathcal{M}^*$  such that  $t^* \mathcal{F} \subset A$ . Thus there is a  $\delta > 0$  such that  $\|t^* \phi\| \geq \delta \|\phi\|$  for all  $\phi$ . If  $H = t^*(\beta N \sim N)$  then  $\|\psi_1 - \psi_2\| \geq 2\delta$  if  $\psi_1 \neq \psi_2$  are in  $H$  (since  $\|\phi_1 - \phi_2\| = 2$  if  $\phi_1 \neq \phi_2$  are in  $\beta N \sim N$ ),  $\text{card } H = 2^c$  and  $H$  is a  $w^*$  perfect set. ■

REMARK. Let  $\mathcal{J}$  be countable be such that  $S_E^G \cap \mathcal{J}^0 \neq \emptyset$ . Then our Theorem 2 only insures that  $S_E^G \cap \mathcal{J}^0 \cap \{\mathcal{M}^* \sim \mathcal{M}_*\} \neq \emptyset$ . It may though happen that  $S^G \cap \mathcal{J}^0 \cap \mathcal{M}_*^\perp = \emptyset$  and a fortiori  $S_E^G \cap \mathcal{J}^0 \cap \mathcal{M}_*^\perp = \emptyset$ . In fact let

$\mathcal{M} = l^\infty$ ,  $G = \{e\}$  be the trivial group. Let  $\phi_1 \in (l^\infty)_* = l^1$  and  $\phi_2 \in (l^\infty)_*^\perp$  be states on  $\mathcal{M}$ . Let  $\phi_0 = \frac{1}{2}(\phi_1 + \phi_2)$  and  $\alpha_n = \phi_0(\delta_n)$ . Let  $\mathcal{J} = \{\delta_n - \alpha_n I; n \geq 1\}$ . If  $E$  is the set of all pure states, then  $S_E^G = S^G$  and  $\phi_0 \in S^G \cap \mathcal{J}^0 \cap \{\mathcal{M}^* \sim \mathcal{M}_*\}$ . Yet  $S^G \cap \mathcal{J}^0 \cap \mathcal{M}_*^\perp = \emptyset$ . Since any  $\phi$  in this last set satisfies  $\phi(\delta_n) = \alpha_n = \frac{1}{2}\phi_1(\delta_n)$  and  $\sum_n \phi_1(\delta_n) = 1$  since  $\phi_1 \in l^1$  is a state. Thus  $\phi \notin (l^\infty)_*^\perp = \{\psi \in l^\infty_*; \psi = 0 \text{ on } c_0\}$ .

We will need in what follows the following important result of Ghoussoub, Godefroy, Maurey, Schachermeyer, Haagerup [8].<sup>†</sup>

**LEMMA GGMSH.** *Let  $D$  be a  $w^*$  compact convex subset of the dual of a  $C^*$  algebra (or of the dual of a Banach lattice not containing  $c_0$ ). Then  $D$  has the RNP if and only if it has the WRNP.*

**PROOF.** By Cor. VII. 5, p. 93 of [8],  $D$  has the RNP iff it has the  $W^*$ RNP since  $D \subset A^*$ , which is the predual of  $A^{**}$ . But by [8] p. 80, just preceding Theorem VI. 12, WRNP and  $W^*$ RNP coincide for  $w^*$  compact convex subsets of duals of Banach spaces. ■

**REMARK.** If  $G \subset \text{Aut } \mathcal{M}$  is a group and  $E$  a set of pure states on  $\mathcal{M}$ , let  $\hat{E} = \{\pi_\phi; \phi \in E\} / \sim$  where  $\pi_\phi$  is the GNS representation induced by  $\phi$  and  $\sim$  denotes unitary equivalence. Then  $g\hat{E} = \hat{E}$  means that  $\{\pi_\phi; \phi \in E\} / \sim = \{\pi_\phi \circ g; \phi \in E\} / \sim$  as in [13] p. 755.

We have now the following improvement of our Corollary 2 [13] p. 758:

**THEOREM 3.** *Let  $G \subset \text{Aut } \mathcal{M}$  be a group and  $E$  a set of pure states on  $\mathcal{M}$ . Assume that for some countable  $\mathcal{J} \subset \mathcal{M}$ ,  $S_E^G \cap \mathcal{J}^0 \neq \emptyset$  and has the WRNP.*

(a) *If  $G$  is countable and amenable, then every subset  $E_1 \subset w^*\text{cl } E$  such that  $G^*E_1 \subset E_1$  and  $S_{E_1}^G \cap \mathcal{J}^0 \neq \emptyset$  contains a finite subset  $E_0 \subset E_1$  such that  $g\hat{E}_0 = \hat{E}_0$  for all  $g \in G$ .*

(b) *If  $G$  is not amenable, then the action of  $G$  on  $L^\infty(T^G, \lambda^G)$  is such that if  $E = \Delta_{L^\infty}$ ,  $\mathcal{J} = \{0\}$  then  $S_E^G \cap \mathcal{J}^0 = S^G = \{\lambda^G\}$  (which is finite-dimensional a fortiori) has the WRNP, yet for each  $\phi \in E$ ,  $G^*\phi$  is infinite.*

**PROOF.** Lemma GGMSH implies that  $S_E^G \cap \mathcal{J}^0$  has in fact the RNP. The proof is then reduced to that of Cor. 2 on p. 758 of [13]. ■

<sup>†</sup> Many thanks are due to N. Ghoussoub for providing us with a preprint of [8].

REMARK. This theorem implies that RNP can be replaced by WRNP in our Cor. 2 and Cor. 3 on p. 758 of [13] and makes Cor. 4 of [13] superfluous. Furthermore, Lemma GGMSH shows that RNP can be replaced by WRNP in our Theorem 4 of [11] and makes Proposition 5 of [11] superfluous.

## II. "Bigness" properties of $S^G \cap \mathcal{M}_*^\perp$

The main results of this section are Theorems 7 and 9.

LEMMA 4. *Let  $\mathcal{M} \subset B(H)$  be an infinite-dimensional  $W^*$  algebra and  $G \subset \text{Aut } \mathcal{M}$  countable and amenable. Then there exist  $\sigma$ -finite projections  $q_n$ ,  $n = 0, 1, 2, \dots$  in  $\mathcal{M}$  and  $\psi_0 \in S^G \cap \mathcal{M}_*^\perp$  such that:  $gq_0 = q_0$  for all  $g$  in  $G$ ,  $q_n \uparrow q_0$   $\sigma$ -strongly,  $\psi_0(q_0) = 1$  and  $\psi_0(q_n) = 0$  if  $n \geq 1$ .*

PROOF. If  $\mathcal{M}$  is not  $\sigma$ -finite, there is an infinite sequence  $\{h_n\}$  such that  $[\mathcal{M}'h_k] \perp [\mathcal{M}'h_j]$  if  $k \neq j$ ,  $n = 1, 2, 3, \dots$  where  $\mathcal{M}'$  is the commutant of  $\mathcal{M}$  and  $[\mathcal{M}'K]$  is the closed linear span of  $\{mh; m \in \mathcal{M}', h \in K\}$  (see [23] p. 78). Let  $H_0 = \{h_n\}_1^\infty$  and  $p_0 \in \mathcal{M}$  the projection onto  $[\mathcal{M}'H_0]$ . Then  $p_0\mathcal{M}p_0$  acting on  $p_0H = [\mathcal{M}'H_0]$  is  $\sigma$ -finite, since the countable set  $H_0$  is separating for  $p_0\mathcal{M}p_0$  and by [23] p. 78 (3.19). Thus  $p_0$  is a  $\sigma$ -finite projection by definition. Note that if  $p_n \in \mathcal{M}$  is the projection onto  $[\mathcal{M}'h_n]$  then  $p_0 \geq p_n$  and  $p_k p_j = 0$  if  $i \neq j$ ,  $i, j \geq 1$ . Thus  $\{p_n\}_1^\infty \subset p_0\mathcal{M}p_0$  and  $p_0\mathcal{M}p_0$  is infinite dimensional. Now for each  $g \in G$ ,  $gp_0\mathcal{M}gp_0 = g(p_0\mathcal{M}p_0)$  is also a  $\sigma$ -finite since  $g \in \text{Aut } \mathcal{M}$ . But, since  $G$  is countable,  $q_0 = \sup\{gp_0; g \in G\}$  (denoted by  $\vee gp_0; g \in G$  in [23] p. 290) is also a  $\sigma$ -finite projection in  $\mathcal{M}$  (see [14] p. 380, ex. 5.7.45) which in addition is such that  $gq_0 = q_0$  (since clearly  $g_0q_0 = \sup\{g_0gp_0; g \in G\} = \sup\{gp_0; g \in G\} = q_0$ ). It follows that  $q_0\mathcal{M}q_0$  is a  $\sigma$ -finite  $W^*$  algebra acting on  $q_0H$  which is infinite dimensional, since  $q_0\mathcal{M}q_0 \supset g(p_0\mathcal{M}p_0)$  is infinite dimensional for each  $g \in G$ . Clearly  $g(q_0\mathcal{M}q_0) = q_0\mathcal{M}q_0$  for all  $g \in G$ . Now if  $\mathcal{N}$  is a  $W^*$  algebra such that  $\dim \mathcal{N} = \infty$ , then  $\mathcal{N}^* = \mathcal{N}_* \oplus \mathcal{N}_*^\perp$  by [23] p. 127 and furthermore  $\mathcal{N}_*^\perp \neq \{0\}$ . If  $\mathcal{N}_*^\perp = \{0\}$  then  $\mathcal{N}^* = \mathcal{N}_*$  and  $\mathcal{N}$  would be a reflexive  $W^*$  algebra and hence by S. Sakai's result in [20] would be finite dimensional. Let now  $\phi$  be a singular ([23] p. 127) state on  $\mathcal{N} = q_0\mathcal{M}q_0$  acting on  $q_0H$ . If  $\mathcal{M}$  is  $\sigma$ -finite take  $q_0 = I$  and  $\mathcal{N} = \mathcal{M}$ .  $G$  acts on  $q_0\mathcal{M}q_0$ . Hence  $G^* = \{g^*; g \in G\}$  acts on  $(q_0\mathcal{M}q_0)^*$ . By the Markov-Kakutani-Day fixed point theorem there is some  $\psi \in w^*\text{cl co}\{G^*\phi\}$  such that  $G^*\psi = \psi$ . But each  $g^*\phi$  is clearly a singular state on  $q_0\mathcal{M}q_0$ , for example by Theorem 3.8 on p. 134 of [23], and  $\psi$  is in the  $w^*$  closure of a countable subset of  $\text{co } G^*\phi$  (all of whose elements are singular) since  $G^*$  is countable. Using now Prop. 5.8 on p. 154 of

[23] we get that  $\psi$  is a singular  $G^*$  invariant state on the  $\sigma$ -finite  $W^*$  algebra  $q_0 \mathcal{M} q_0$ . Thus there is a net of projections  $q_\alpha \uparrow q_0$ , the identity of  $q_0 \mathcal{M} q_0$  being such that  $\psi(q_\alpha) = 0$ ; see [23] p. 154.

Let (by Takesaki [23] p. 78)  $w$  be a faithful normal positive state in  $(q_0 \mathcal{M} q_0)_*$ . Then  $w(q_0 - q_\alpha) \rightarrow 0$ . Choose  $q_{\alpha_1}$  such that  $w(q_0 - q_{\alpha_1}) < 1$  and if  $q_{\alpha_n}$  was chosen let  $q_{\alpha_{n+1}} \geq q_{\alpha_n}$  be such that  $w(q_0 - q_{\alpha_{n+1}}) < 1/n + 1$ . If  $q_n = q_{\alpha_n}$  then  $q_n \uparrow q_0$  ultrastrongly,  $q_n$  are  $\sigma$ -finite and  $\psi(q_n) = 0$  if  $n \geq 1$  while  $\psi(q_0) = 1$ .

Let now  $\psi_0 \in \mathcal{M}^*$  be defined by  $\psi_0(m) = \psi(q_0 m q_0)$ . Then  $g^* \psi_0(m) = \psi(q_0 g m q_0) = \psi(q_0 m q_0) = \psi_0(m)$  and also  $\psi_0(m) = \psi(m)$  if  $m \in q_0 \mathcal{M} q_0$ . Thus  $\psi_0$  is a  $G^*$  invariant extension of  $\psi$ , which satisfies  $\psi_0(q_n) = 0$  and  $\psi_0(1 - q_0) = 0$ ; thus  $\psi_0(q_0) = 1 = \psi_0(I)$ . Hence  $\psi_0 \in \mathcal{M}_*^\perp \cap S^G$  as required. (If  $\psi_0 = \psi_0^s + \psi_0^n$  with  $\psi_0^s(\psi_0^n)$  the singular (normal) part of  $\psi_0$  then  $\psi_0((I - q_0) + q_k) = 0$  implies  $\psi_0^n(I - q_0 + q_k) = 0$ . Hence  $\psi_0^n(I) = 0$ , i.e.  $\psi_0 = \psi_0^s$ .) ■

The following theorem is an improvement of Theorem 3.8 of Ching Chou [1] which in turn improves our Theorem 1, p. 117 of [10]. The main idea of the construction of the  $w^*$ - $w^*$  continuous isometry  $t^*: l^\infty \rightarrow \mathcal{M}^*$  is due to Ching Chou [1]. The first and last parts of the proof necessarily differ from Chou's proof.

**THEOREM 5.** *Let  $\mathcal{M}$  be an infinite-dimensional  $W^*$  algebra,  $g_n: \mathcal{M}_* \rightarrow \mathcal{M}_*$  a sequence of bounded operators and  $\mathcal{J} \subset \mathcal{M}$  countable. Let  $K$  be a convex set of normal states and  $A = \{w^* \text{cl } K\} \cap \{\phi \in \mathcal{M}^*; g_n^{**} \phi = 0, \forall n \geq 1\} \cap \mathcal{J}^0$ . If  $\emptyset \neq A \subset \mathcal{M}_*^\perp$  then there exists a  $w^*$ - $w^*$  continuous positive isometry into  $t^*: l^\infty \rightarrow \mathcal{M}^*$  such that  $t^* \mathcal{F} \subset A$ .*

**REMARKS.** The key word in the above theorem is “isometry”. Were we content to only have  $t^*$  to be a  $w^*$ - $w^*$  positive norm isomorphism into (i.e. such that  $c_1 \|y\| \leq \|t^* y\| \leq c_2 \|y\|$ , for some  $c_2 > c_1 > 0$ , for all  $y$ ) we could have used our Theorem 1.4 in [12] p. 158 as done in Theorem 2.6, p. 171 of [12]. (We note that  $A \subset \mathcal{M}_*^\perp$  implies that  $A \subset w^* \text{cl } K \sim w^* \text{seq cl } K$ , since  $w^* \text{seq cl } K \subset \mathcal{M}_*$ , by [23] p. 148).

**PROOF.** Let  $\phi_0 \in A \subset \mathcal{M}_*^\perp$ . Then for any normal state  $\phi$  one has  $\|\phi - \phi_0\| = 2$ . Indeed, if  $Z_0$  is the central projection in  $\mathcal{M}^{**}$  for which  $\mathcal{M}_* = \mathcal{M}^* Z_0$  (see [23] p. 126) then  $\phi Z_0 = \phi$  and  $\phi_0 Z_0 = 0$ . Thus

$$\begin{aligned} \|\phi - \phi_0\| &= \|(\phi - \phi_0)Z_0\| + \|(\phi - \phi_0)(1 - Z_0)\| \\ &= \|\phi Z_0\| + \|\phi_0(1 - Z_0)\| = 2 \end{aligned}$$

by [23] Theorem 2.4, p. 127. If now  $\phi_\alpha$  is any net in  $K$  such that  $\phi_\alpha \rightarrow \phi_0$  in  $w^*$  then  $\lim_\alpha \|\phi_\alpha - \phi\| = 2$  for any normal state  $\phi$  since  $\lim \|\phi_\alpha - \phi\| \geq \|\phi_0 - \phi\| = 2$ . The above replaces the need for Lemma 3.1 of Ching Chou [1] p. 214. Let now  $\psi_\alpha$  a net in  $K$  be such that  $\psi_\alpha \rightarrow \phi_0$  in  $w^*$ . Then  $w^* \lim_\alpha g_n^{**} \psi_\alpha = g_n^{**} \phi_0 = 0$  and  $\langle x, \psi_\alpha \rangle \rightarrow 0$  for all  $x$  in  $\mathcal{J}$ . Using now our extension of an argument of Namioka [9] p. 18 (as in [12] or [1]) there is a net  $\phi_\alpha$  of convex combinations of  $\{\psi_\alpha\}$  such that  $\phi_\alpha \rightarrow \phi_0$  in  $w^*$ ,  $\|g_n \phi_\alpha\| \rightarrow 0 \forall n$  and  $\langle x, \phi_\alpha \rangle \rightarrow 0$  for all  $x$  in  $\mathcal{J}$ . As above  $\|\phi_\alpha - \phi\| \rightarrow 2$  for all normal states  $\phi$ . Write  $\mathcal{J} = \{x_n; n = 1, 2, \dots\}$ . Choose now a sequence  $\phi_{\alpha_n}$  such that  $\|g_i \phi_{\alpha_n}\| < 1/n$  and  $|\langle x_i, \phi_{\alpha_n} \rangle| < 1/n$  for all  $1 \leq i \leq n$  and denote  $\phi_{\alpha_n}$  by  $\phi_n$ . Now as in [12] p. 154 any  $w^*$  limit  $\psi$  of a subnet  $\{\phi_{n_j}\}$  of the sequence  $\phi_n$  necessarily satisfies  $g_n^{**} \psi = 0$  and  $\langle \psi, x_n \rangle = 0 \forall n \geq 1$  and hence  $\psi \in A$ . But  $A \subset \mathcal{M}_*^\perp$  by assumption. Thus  $\|\psi - \phi\| = 2$  for any normal state  $\phi$  and the same reasoning as above shows that  $\|\phi_n - \phi\| \rightarrow 2$  for any normal state  $\phi$ . We can apply now Ching Chou's powerful Theorem 2.4 on p. 212 of [1] and get that there is a subsequence  $\phi_{n_j}$  of  $\phi_n$  and normal states of  $\psi_j$  such that  $\|\phi_{n_j} - \psi_j\| \leq 1/j$  and the  $\psi_j$  are mutually orthogonal, i.e. the support projections  $p_j \in \mathcal{M}$  of  $\psi_j$  (see [23] p. 134) satisfy  $p_j p_k = 0 = p_k p_j$  if  $j \neq k$ . Thus  $\{\psi_j\}$  form a *canonical*  $l_1$  basis in  $\mathcal{M}_*$ , i.e. any linear combination of  $\psi_j$  satisfies  $\|\sum_1^k \alpha_j \psi_j\| = \sum_1^k |\alpha_j|$ . In fact if  $x = \sum_1^k (\bar{\alpha}_j / |\alpha_j|) p_j$  then  $\|x\| = 1$  and  $\langle \sum_1^k \alpha_j \psi_j, x \rangle = \sum_1^k |\alpha_j|$ . It is now readily checked that  $\|g_n \psi_j\| \rightarrow 0$  and  $\langle x_n, \psi_j \rangle \rightarrow 0$  for each fixed  $n$ . Furthermore the operator  $i: l_1 \rightarrow \mathcal{M}_*$  given by  $i: \{\alpha_j\} \rightarrow \sum_1^\infty \alpha_j \psi_j$  is an *into* isometry, i.e.  $\|i\{\alpha_j\}\|_1^\infty = \sum_1^\infty |\alpha_j|$ . Also  $i\delta_k = \psi_k$ , and  $i \geq 0$ , i.e. if  $\alpha_j \geq 0$  for all  $j$  then  $i\{\alpha_j\} \geq 0$  in  $\mathcal{M}_*$ . But then if  $b = \{b_n\} \in l^\infty$ , define  $\hat{b} \in \mathcal{M}$  to be any norm preserving extension of the linear functional  $\hat{b}_0$  on  $i(l^1)$  given by:  $\langle \hat{b}_0, \sum_1^\infty \alpha_j \psi_j \rangle = \sum_1^\infty b_j \alpha_j$ . Note that  $\|\hat{b}\| = \|\hat{b}_0\| = \sup_n |b_n| = \|b\|$ .

Thus  $i^* \hat{b} = b$  and  $\|\hat{b}\| = \|b\|$ . But then since  $\|i^*\| \leq 1$ ,  $\|i^{**} \phi\| = \|\phi\|$  for any  $\phi \in l^{\infty*}$  and  $i^{**}: l^{\infty*} \rightarrow \mathcal{M}^*$  is an isometry into, which is positive since so is  $i: l^1 \rightarrow \mathcal{M}_*$ .

We show now that  $i^{**} \theta \in A$  if  $\theta \in \mathcal{F}$ . Clearly  $i\delta_k = \psi_k$  and if  $x \in \mathcal{M}$  then for fixed  $n$  we have  $\langle i^{**} g_n^* x, \delta_k \rangle = \langle x, g_n \psi_k \rangle \rightarrow 0$  if  $k \rightarrow \infty$  and also  $\langle i^* x_n, \delta_k \rangle = \langle x_n, \psi_k \rangle \rightarrow 0$  if  $k \rightarrow \infty$ .

Hence for all  $n$ ,  $i^* g_n^* (\mathcal{M}) \subset c_0$  and  $i^* \mathcal{F} \subset c_0$ . Let now  $\theta \in \mathcal{F}$  be fixed. Then  $\theta = 0$  on  $c_0$ . Hence

$$\langle g_n^{**} i^{**} \theta, x \rangle = \langle \theta, i^* g_n^* x \rangle = 0 \quad \text{and} \quad \langle i^{**} \theta, x_n \rangle = \langle \theta, i^* x_n \rangle = 0.$$

We still have to show that  $i^{**} \theta \in w^* \text{cl } K$  to finish the proof. Here again we differ from Ching Chou's proof [1] p. 217.

Since  $\langle \theta, \delta_k \rangle = 0$  for all  $k$  we have for all  $j \geq 1$  that  $\theta \in w^* \text{cl co}\{\delta_k; k \geq j\}$  thus  $i^{**}\theta \in w^* \text{cl co}\{\psi_k; k \geq j\}$ . We note that if  $j$  is fixed then  $\|\phi_{n_k} - \psi_k\| \leq 1/j$ , if  $k \geq j$ . Hence if  $\mu = \sum_{k=0}^l \alpha_k \psi_{k+j} \in \text{co}\{\psi_n; n \geq j\}$  then  $\mu' = \sum_{k=0}^l \alpha_k \phi_{n_{k+j}} \in K$  will satisfy  $\|\mu - \mu'\| \leq 1/j$ .

For every finite set  $F \subset \mathcal{M}$  and  $n \geq 1, j \geq 1$  there is some  $v \in \text{co}\{\delta_k; k \geq j\}$  such that  $|\langle i^{**}(v - \theta), x \rangle| < 1/n$  for all  $x$  in  $F$ . Let  $D$  be the directed set  $\{\beta = (F, j, n), F \subset \mathcal{M} \text{ finite}, n, j \geq 1\}$  with  $(F', j', n') \geq (F, j, n)$  iff  $F \subset F', n' \geq n$  and  $j' \geq j$ . Then it is readily seen that there is a net on  $D, \{v_\beta; \beta \in D\} \subset \text{co}\{\delta_k; k \geq 1\}$  such that if  $\beta = (F, j, n)$  then  $v_\beta \in \text{co}\{\delta_k; k \geq j\}$  and  $|\langle i^{**}(v_\beta - \theta), x \rangle| < 1/n$  if  $x \in F$  and such that  $v_\beta \rightarrow \theta$  in  $w^*$ .

But then let  $\mu_\beta = i^{**}v_\beta$  and  $\mu'_\beta$  be the net containing  $\phi_n$  at each place where  $\psi_j$  appears in  $i^{**}v_\beta = \mu_\beta$ . Then  $\mu'_\beta \in K$  and  $\|\mu_\beta - \mu'_\beta\| \leq 1/j$  if  $\beta \geq \beta_0 = (F_0, k, j)$  for any  $k$  and finite  $F_0 \subset \mathcal{M}$ .

Let now  $\varepsilon > 0$  and  $F_0 \subset \mathcal{M}$  be finite. Let  $\beta_0 = (F_0, k_0, j_0)$  be such that  $|\langle i^{**}(v_\beta - \theta), x \rangle| < 1/j_0 < \varepsilon/2, \forall x \in F_0$  if  $\beta \geq \beta_0$  and furthermore  $\|x\|/j_0 < \varepsilon/2 \forall x \in F_0$ . Then  $\forall x \in F_0$

$$|\langle \mu'_\beta - i^{**}\theta, x \rangle| \leq |\langle \mu'_\beta - \mu_\beta, x \rangle| + |\langle i^{**}(v_\beta - \theta), x \rangle| \\ < (\|x\|/j_0) + \varepsilon/2 < \varepsilon.$$

This shows that  $i^{**}\theta \in w^* \text{cl } K$ . Thus  $i^{**}\mathcal{F} \subset A$ . Denote  $t = i^*$ . ■

**COROLLARY 6.** *Assumptions as in Theorem 5 except that  $\emptyset \neq A \subset \mathcal{M}_*^\perp$  is replaced by  $A \cap \mathcal{M}_*^\perp \neq \emptyset$  and  $\mathcal{M}$  is  $\sigma$ -finite. Then there exists a  $w^*$ - $w^*$  continuous positive isometry into  $t^*: l^\infty \rightarrow \mathcal{M}^*$  such that  $t^*\mathcal{F} \subset A \cap \mathcal{M}_*^\perp$ .*

**PROOF.** Let  $\phi_0 \in A \cap \mathcal{M}_*^\perp$  and choose a sequence of projections  $p_n \uparrow I$ , ultrastrongly in  $\mathcal{M}$ , such that  $\phi_0(p_n) = 0$  for all  $n$  (see Takesaki [23] p. 154 and Theorem 3.8 p. 134). Let  $\mathcal{J}_1 = \mathcal{J} \cup \{p_n\}$ . Then:

$$A_1 = \{w^* \text{cl } K\} \cap \{\phi \in \mathcal{M}^*; g_n^{**}\phi = 0 \forall n \geq 1\} \cap \mathcal{J}_1^0 \subset \mathcal{M}_*^\perp \text{ and } \phi_0 \in A_1.$$

This is the case since if  $\phi \in A_1$  and  $\phi = \phi^n + \phi^s$  with  $\phi^n$  ( $\phi^s$ ) the normal (singular) part of  $\phi$ , then  $\phi(p_k) = 0$  implies  $\phi^n(p_k) = 0$  for all  $k$ . Thus  $\phi^n(I) = 0$  and  $\phi = \phi^s$  is singular. Apply now Theorem 5 to finish the proof. ■

**THEOREM 7.** *Let  $\mathcal{M}$  be an infinite-dimensional  $W^*$  algebra,  $G \subset \text{Aut } \mathcal{M}$  a countable amenable group. Then there is a sequence of  $\sigma$ -finite projections  $\{q_n; n \geq 0\}$  such that  $q_n \uparrow q_0$   $\sigma$ -strongly and  $gq_0 = q_0$  for all  $g$  in  $G$ , and a positive  $w^*$ - $w^*$  continuous isometry into  $t^*: l^\infty \rightarrow \mathcal{M}^*$  such that*

$$t^*\mathcal{F} \subset S^G \cap \mathcal{M}_*^\perp \cap P^0, \quad \text{where } P = \{q_n; n \geq 1\} \cup \{I - q_0\}.$$

PROOF. Choose  $q_n \uparrow q_0$  and  $\psi_0 \in S^G \cap \mathcal{M}_*^\perp$  as in Lemma 4. Let  $K$  be any convex set of normal states such that  $\psi_0 \in w^*\text{cl } K$  (the set  $S_N$  of all normal states is such). Let  $P = \{q_n; n \geq 1\} \cup \{I - q_0\}$ . Then

$$A = \{w^*\text{cl } K\} \cap \{\phi \in \mathcal{M}^*; G^*\phi = \phi\} \cap P^0 \subset \mathcal{M}_*^\perp \quad \text{and} \quad \psi_0 \in A.$$

Since any  $g \in \text{Aut } \mathcal{M}$  is ultraweakly continuous ([23] p. 135)  $g^*\mathcal{M}_* \subset \mathcal{M}_*$  for all  $g$ , hence for each  $g$  there is some  $g_1: \mathcal{M}_* \rightarrow \mathcal{M}_*$  such that  $g_1^* = g$ . Thus a direct application of Theorem 5 with  $\{g_n^{**}\}$  replaced by  $\{(g_1 - I)^{**}; g \in G\}$  finishes the proof. ■

Since  $\beta N \sim N$  is a  $w^*$  perfect set, as is well known, we have

COROLLARY 8. *The set  $H = t^*(\beta N \sim N) \subset S^G \cap \mathcal{M}_*^\perp \cap P^0$  is a  $w^*$  compact perfect subset of cardinality  $2^c$ , such that  $\|\phi_1 - \phi_2\| = 2$  for any  $\phi_1, \phi_2 \in H$  such that  $\phi_1 \neq \phi_2$ .*

Theorem 7 and Corollary 8 improve substantially the following result of Ching Chou [1] p. 649:

COROLLARY (Ching Chou). *Assume that  $G$  is a countable amenable group acting ergodically as measure preserving maps on a nonatomic probability space  $(X, \mathcal{B}, p)$ . Then there exists a positive  $w^*$ - $w^*$  continuous isometry into  $t^*: l^\infty \rightarrow L^\infty(X)^*$  such that  $t^*\mathcal{F} \subset S^G$ .*

We note that the action of  $G$  on our  $W^*$  algebra need not be trace preserving ( $\mathcal{M}$  need not be abelian or admit any faithful trace at all) or ergodic, and  $\mathcal{M}$  may contain atoms. Moreover  $t^*\mathcal{F}$  contains only singular elements  $\psi$  such that  $\psi(q_n) = 0$  if  $n \geq 1$ ,  $\psi(q_0) = 1$ . (Hence if  $r_n = q_n + (I - q_0)$ ,  $n \geq 1$  then  $r_n$  are projections such that  $r_n \uparrow I$ ,  $\sigma$  strongly, and  $\psi(r_n) = 0$  for all  $\psi \in t^*\mathcal{F}$ ,  $n \geq 1$ . This assertion is related to Theorem 1.4 (1)  $\Leftrightarrow$  (3) of Rosenblatt [17] p. 628.)

REMARK (1). The following is a result in K. Schmidt [21] p. 227.

THEOREM. *Let  $G$  be a countable group and  $(X, \mathcal{B}, \mu)$  be a standard nonatomic probability space TFAE: (1) No ergodic measure preserving action of  $G$  on  $(X, \mathcal{B}, \mu)$  is strongly ergodic. (2) No ergodic measure preserving action of  $G$  on  $(X, \mathcal{B}, \mu)$  has a unique  $G$  invariant state on  $L^\infty(X, \mu)$ . (3)  $G$  is amenable.*

Our theorem implies that amenable countable groups have a much stronger

property than (2), namely: Every action (null set preserving but not necessarily measure preserving) of  $G$  on any measure space  $(X, \mathcal{B}, \mu)$  such that  $\mathcal{M} = L^\infty(X, \mu)$  is not finite dimensional satisfies  $\text{card } S^G \cap \mathcal{M}_*^\perp = 2^c$ . Furthermore, the abelian  $\mathcal{M} = L^\infty(X)$  can be replaced by any  $W^*$  algebra with  $\dim \mathcal{M} = \infty$ .

REMARK (2). Let  $\mathcal{M}$  be a finite  $W^*$  algebra with faithful normal trace  $\tau$  such that  $\tau(I) = 1$ . For a fixed  $\tau$  let  $\|x\|_2 = \tau(x^*x)^{1/2}$  if  $x \in \mathcal{M}$ . Let  $G \subset \text{Aut } \mathcal{M}$  preserve  $\tau$  and extend the action of each  $g \in G$  to the canonical Hilbert space  $L^2(\mathcal{M}, \tau)$ . M. Choda [4] defines the action of  $G$  on  $\mathcal{M}$  to be  $s$ -strongly ergodic if, whenever  $\xi_n \in L^2(\mathcal{M}, \tau)$  are unit vectors such that  $\|\xi_n - g\xi_n\|_2 \rightarrow 0$  for each  $g$  in  $G$ , it follows that  $\|\xi_n - \tau(\xi_n)1\|_2 \rightarrow 0$ . She proves in [4], among other results, that "The action of  $G$  on  $\mathcal{M}$  is  $s$ -strongly ergodic if and only if  $\tau$  is the unique  $G$  invariant state on  $\mathcal{M}$ ."

One can hence call the action of  $G$  on an arbitrary  $W^*$  algebra  $\mathcal{M}$  to be  $s$ -strongly ergodic if it admits a unique  $G$  invariant state. Then one gets that the action of countable amenable groups on any  $W^*$  algebra  $\mathcal{M}$  is never  $s$ -strongly ergodic. In fact, moreover,  $\dim S^G \cap \mathcal{M}_*^\perp \geq 2^c$  (if  $\dim \mathcal{M} = \infty$ ).

For countable groups with property T (for ex.  $\text{Sl}(n\mathbb{Z})$ ,  $n \geq 3$ ) the situation is strikingly different as the following result of K. Schmidt [21] and A. Connes and B. Weiss [5] shows: "A countable group  $G$  has property T, iff any measure preserving ergodic action on any standard probability space is strongly ergodic".

The main result of Ching Chou in [1] is

THEOREM. Let  $G$  be a countable group acting ergodically as measure preserving maps on the nonatomic probability space  $(X, \mathcal{B}, p)$ . If the set  $S^G$  of  $G$  invariant states on  $\mathcal{M} = L^\infty(X, p)$  satisfies  $\{p\} \subsetneq S^G$ , then there is a positive  $w^*$ - $w^*$  continuous isometry into  $t^*: l^\infty \rightarrow \mathcal{M}^*$  such that  $t^*\mathcal{F} \subset S^G$ . In particular,  $\text{card } S^G \geq 2^c$ .

We note that  $G$  is not necessarily amenable here and that  $\mathcal{M}$  is a finite  $W^*$  algebra ([16] p. 166). Furthermore, due to ergodicity,  $\{p\}$  is the unique  $G$  invariant normal state on  $\mathcal{M} = L^\infty(X)$ . It is hence assumed above that there is some  $\psi \in S^G$  which is not normal.

Ching Chou's result is thus substantially improved in

THEOREM 9. Let  $G \subset \text{Aut } \mathcal{M}$  be any countable group acting on the  $\sigma$ -finite  $W^*$  algebra  $\mathcal{M}$ . Assume that there is some  $\phi_0 \in S^G$  which is not normal. Then there is a sequence of projections  $q_n \uparrow I$ ,  $\sigma$ -strongly, and a positive  $w^*$ - $w^*$

continuous isometry  $t^*: l^\infty \rightarrow \mathcal{M}^*$  such that  $t^*\mathcal{F} \subset S^G \cap \mathcal{M}_*^\perp \cap P^0$  where  $P = \{q_n\}$ .

REMARK. Ching Chou's proof in [1] p. 648 relies on J. Rosenblatt's Theorem A in which essential use is made of the fact that  $G$  preserves the finite measure  $p$  (see [17] p. 627). In our case  $\mathcal{M}$  need not admit any faithful, finite (or  $\sigma$ -finite) trace at all and even if  $\mathcal{M}$  admits one, it need not be preserved by  $G$ .

PROOF. Let  $Z_0$  be the central projection in  $\mathcal{M}^{**}$  such that  $\mathcal{M}_* = \mathcal{M}^*Z_0$  and  $\mathcal{M}_*^\perp = \mathcal{M}^*(1 - Z_0)$ , see Takesaki [23] p. 126, and  $\mathcal{M}^* = \mathcal{M}_* \oplus \mathcal{M}_*^\perp$  (an  $l^1$  direct sum). Then  $\eta_0 = (1 - Z_0)\phi_0 \neq 0$  and  $\eta_0 \in \mathcal{M}_*^\perp$  is positive (since  $\phi_0$  is not normal and  $Z_0$  is central). But  $g^*\eta_0 = \eta_0$  for all  $g$  in  $G$ . To show this one notes that, since  $g \in \text{Aut } \mathcal{M}$ ,  $g$  is ultraweakly continuous ([23] p. 135), hence  $g^*\mathcal{M}_* \subset \mathcal{M}_*$ . Also, if  $\phi \in \mathcal{M}_*^\perp$  is positive, then  $g^*\phi \in \mathcal{M}_*^\perp$ . Since if  $p \neq 0$  is a projection in  $\mathcal{M}$  then so is  $gp$ , and there is a projection  $0 \neq p_1 \leq gp$  such that  $\phi(p_1) = 0$  (see [23] p. 134, Theorem 3.8), hence  $g^*\phi(g^{-1}p_1) = \phi(p_1) = 0$  and  $0 \neq g^{-1}p_1 \leq p$  since  $g$  is an automorphism. Hence again by [23] p. 134, Theorem 3.8,  $g^*\phi \in \mathcal{M}_*^\perp$ . It follows that

$$\phi_0 = \phi_0 Z_0 + \phi_0(I - Z_0) = g^*\phi_0 = g^*(\phi_0 Z_0) + g^*(\phi_0(I - Z_0)).$$

Since  $\mathcal{M}^* = \mathcal{M}_* \oplus \mathcal{M}_*^\perp$  is a direct sum,  $g^*\eta_0 = g^*(\phi_0(1 - Z_0)) = \phi_0(1 - Z_0) = \eta_0$  for all  $g$ . Thus  $\eta_0 \in \mathcal{M}_*^\perp$ . It follows that  $\psi_0 = \eta_0(I)^{-1}\eta_0 \in \mathcal{M}_*^\perp \cap S^G$ . Now  $\mathcal{M}$  is  $\sigma$ -finite ([23] p. 78) hence, as done after Corollary 8, there is a sequence of projections  $q_n \uparrow I$ ,  $\sigma$ -strongly, such that  $\psi_0(q_n) = 0$  if  $n \geq 1$ . Let now  $K = S_N$ , the set of normal states on  $\mathcal{M}$ . Then

$$\psi_0 \in A = \{w^*\text{-cl } K\} \cap \{\psi \in \mathcal{M}^*; g^*\psi = \psi \text{ for } g \in G\} \cap P^0 \subset \mathcal{M}_*^\perp$$

by the same argument as at the end of Lemma 4. We apply now Theorem 5 and get that there is a  $w^*$ - $w^*$  continuous positive into isometry  $t^*: l^\infty \rightarrow \mathcal{M}^*$  such that  $t^*\mathcal{F} \subset S^G \cap \mathcal{M}_*^\perp \cap P^0$ . ■

COROLLARY 10. The set  $H = t^*(\beta N \sim N) \subset S^G \cap \mathcal{M}_*^\perp \cap P^0$  is a  $w^*$  compact perfect set such that  $\text{card } H = 2^c$  and  $\|\phi_1 - \phi_2\| = 2$  if  $\phi_1, \phi_2 \in H$  and  $\phi_1 \neq \phi_2$ .

REMARKS. What can one say about the cardinality of  $S^G$  in case  $G$  is an uncountable amenable group? In this regard the following result of Z. Yang [24] is of much interest:

**THEOREM.** *Assuming the continuum hypothesis, there exists a locally finite (hence amenable) group  $G$  of cardinality  $c$  acting on a countable set  $X$  such that there exists a unique  $G$  invariant state on  $\mathcal{M} = l^\infty(X)$ .*

Thus any attempt to generalize the theorems of this paper to uncountable amenable groups  $G$  cannot work.

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